## **LISTING OF CLAIMS**:

This listing of claims will replace all prior versions, and listings, of claims in the application.

Please amend claims 1-4 as follows.

1. (Currently amended) A heteropolycyclic compound represented by General-Formula formula (1):

## [Chemical Formula 1]

wherein  $R^1$  is a straight- or branched-chain  $C_1$ - $C_{10}$  alkyl group, a substituted or unsubstituted  $C_5$ - $C_{10}$  cycloalkyl group or a substituted or unsubstituted phenyl group;

 $R^2$  and  $R^3$  are the same or different and are each a straight- or branched-chain  $C_1$ - $C_{10}$  alkyl group, a substituted or unsubstituted  $C_5$ - $C_{10}$  cycloalkyl group or a substituted or unsubstituted phenyl group, or  $R_2$  and  $R_3$  may be linked to each other to form, together with the nitrogen atom to which they are attached, a heterocyclic ring;

R<sup>4</sup> and R<sup>5</sup> are each a hydrogen atom;

R<sup>2</sup>-and R<sup>4</sup>, and/or R<sup>3</sup>-and R<sup>5</sup>-may be linked to each other to form a straight- or branchedchain C<sub>2</sub>-C<sub>4</sub>-alkylene group;

X is a straight-chain  $C_1$ - $C_{10}$  alkyl group, an -OCOR<sup>6</sup> group, or an -OR<sup>6</sup> group hydrogen atom, a substituted or unsubstituted  $C_5$ - $C_{10}$  cycloalkyl group, a substituted or unsubstituted phenyl-group, a halogen atom, an -OCOR<sup>6</sup> group, an -OR<sup>6</sup> group, an SR<sup>6</sup> group or an -NR<sup>6</sup>R<sup>7</sup> group;

 $R^6$  and  $R^7$ -are the same or different and are each is a hydrogen atom, a straight- or branched-chain  $C_1$ - $C_6$  alkyl group or a substituted or unsubstituted  $C_5$ - $C_{10}$  cycloalkyl group; and Z is a divalent group  $\underline{-O}$ - or  $\underline{-NR}^6$ - wherein  $\underline{R}^6$  is as defined above.

2. (Currently amended) A heteropolycyclic compound according to claim 1, wherein, in General Formula (1),  $R^1$  is a straight- or branched-chain  $C_1$ - $C_{10}$  alkyl group or a substituted or unsubstituted phenyl group;  $R^2$  and  $R^3$  are each independently a straight- or branched-chain  $C_1$ - $C_{10}$  alkyl group;  $R^4$  and  $R^5$  are each a hydrogen atom; and X is a hydrogen atom, a straight- or branched straight-chain  $C_1$ - $C_{10}$  alkyl group, a hydroxy group or an -OCOR group wherein  $R^6$  is a hydrogen atom or a straight- or branched-chain  $C_1$ - $C_6$  alkyl group; and Z is -O- [[, -S-]] or -NR<sup>6</sup>- wherein  $R^6$  is a hydrogen atom or a straight- or branched-chain  $C_1$ - $C_6$  alkyl group.

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3. (Currently amended) A heteropolycyclic compound represented by General Formula formula (2):

## [Chemical Formula 2]

wherein  $R^1$  is a straight- or branched-chain  $C_1$ - $C_{10}$  alkyl group, a substituted or unsubstituted  $C_5$ - $C_{10}$  cycloalkyl group or a substituted or unsubstituted phenyl group;

 $R^2$  and  $R^3$  are the same or different and are each a straight- or branched-chain  $C_1$ - $C_{10}$  alkyl group, a substituted or unsubstituted  $C_5$ - $C_{10}$  cycloalkyl group or a substituted or unsubstituted phenyl group, or  $R^2$  and  $R^3$  may be linked to each other to form, together with the nitrogen atom to which they are attached, a heterocyclic ring;

R<sup>4</sup> and R<sup>5</sup> are each a hydrogen atom;

R<sup>2</sup>-and R<sup>4</sup>, and/or R<sup>3</sup>-and R<sup>5</sup>-may-be-linked to each other to form a straight-or branchedchain C<sub>2</sub>-C<sub>7</sub>-alkylene group;

X is a <u>straight-chain C<sub>1</sub>-C<sub>10</sub> alkyl group</u>, an -OCOR<sup>6</sup> group, or an -OR<sup>6</sup> group hydrogen atom, a substituted or unsubstituted C<sub>5</sub>-C<sub>10</sub>-cycloalkyl group, a substituted or unsubstituted phenyl group, a halogen atom, an -OCOR<sup>6</sup> group, an -OR<sup>6</sup> group, an SR<sup>6</sup> group or an -NR<sup>6</sup>R<sup>7</sup>

<del>group</del>;

 $R^6$  and  $R^7$ -are the same or different and are each is a hydrogen atom, a straight- or branched-chain  $C_1$ - $C_6$  alkyl group or a substituted or unsubstituted  $C_5$ - $C_{10}$  cycloalkyl group; and

Z is a divalent group -O- or -NR<sup>6</sup>- wherein R<sup>6</sup> is as defined above.

4. (Currently amended) A heteropolycyclic compound according to claim 3, wherein, in General Formula formula (2), R<sup>1</sup> is a straight- or branched-chain C<sub>1</sub>-C<sub>10</sub> alkyl group or a substituted or unsubstituted phenyl group; R<sup>2</sup> and R<sup>3</sup> are each independently a straight- or branched-chain C<sub>1</sub>-C<sub>10</sub> alkyl group; R<sup>4</sup>-and-R<sup>5</sup>-are each a hydrogen atom; and X is a hydrogen atom; a straight- or-branched straight-chain C<sub>1</sub>-C<sub>10</sub> alkyl group, a hydroxy group or an -OCOR<sup>6</sup> group wherein R<sup>6</sup> is a hydrogen atom or a straight- or branched-chain C<sub>1</sub>-C<sub>6</sub> alkyl group; and Z is -O- [[, -S-]] or -NR<sup>6</sup>- wherein R<sup>6</sup> is a hydrogen atom or a straight- or branched-chain C<sub>1</sub>-C<sub>6</sub> alkyl group.

- 5. (Withdrawn) A colorant comprising a heteropolycyclic compound according to claim 1.
- 6. (Withdrawn) A pigment or dye comprising a heteropolycyclic compound according to claim 1.
  - 7. (Withdrawn) A colorant comprising a heteropolycyclic compound according to claim 2.

- 8. (Withdrawn) A colorant comprising a heteropolycyclic compound according to claim 3.
- 9. (Withdrawn) A colorant comprising a heteropolycyclic compound according to claim 4.
- 10. (Withdrawn) A pigment or dye comprising a heteropolycyclic compound according to claim 2.
- 11. (Withdrawn) A pigment or dye comprising a heteropolycyclic compound according to claim 3.
- 12. (Withdrawn) A pigment or dye comprising a heteropolycyclic compound according to claim 4.